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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

16 +

Application Number:

99B049D 10/602,177

Filing Date

July 24, 2003

First Named Inventor

Pawan K. Agarwal

Art Unit

1713

Examiner Name

Unassigned

Attorney Docket Number

99B049D

## ENCLOSURES (check all that apply)

Fee Transmittal Form  
 Fee Attached  
 Amendment / Reply  
 After Final  
 Affidavits/declaration(s)  
 Extension of Time Request  
 Express Abandonment Request  
 Information Disclosure Statement  
 Certified Copy of Priority Document(s)  
 Response to Missing Parts/ Incomplete Application  
 Response to Missing Parts under 37 CFR 1.52 or 1.53

Drawing(s)  
 Licensing-related Papers  
 Petition  
 Petition to Convert a Provisional Application  
 Power of Attorney, Revocation Change of Correspondence Address  
 Terminal Disclaimer  
 Request for Refund  
 CD, Number of CD(s) \_\_\_\_\_

After Allowance communication to Group  
 Appeal Communication to Board of Appeals and Interferences  
 Appeal Communication to Group (Appeal Notice, Brief, Reply)  
 Proprietary Information  
 Status Letter  
 Other Enclosure(s) (please identify below):

Remarks  
The Commissioner is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account No. 05-1712 in the name of ExxonMobil Chemical Patents Inc.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Catherine L. Bell  
Registration No. 35,444  
Customer No. 23455

Signature

December 19, 2003

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Typed or printed name

Jennifer S. Kaldor

Signature

*Jennifer S. Kaldor*

Date

December 19, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ **\$180.00**)
**Complete if Known**

Application Number	10/602,177
Filing Date	June 24, 2003
First Named Inventor	Pawan K. Agarwal
Examiner Name	Unassigned
Art Unit	1713
Attorney Docket No.	99B049D

**METHOD OF PAYMENT (check all that apply)**
 Check  Credit card  Money order  Other  None
**Deposit Account:**
 Deposit Account Number **05-1712**

 Deposit Account Name **ExxonMobil Chemical Company**

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>					

**2. EXTRA CLAIM FEES FOR UTILITY AND**

	Extra Claims	Fee from below	Fee Paid
Total Claims	<input type="text"/> -20** = <input type="text"/> 0	<input type="text"/> X <input type="text"/> = <input type="text"/> 0.00	
Independent Claims	<input type="text"/> -3** = <input type="text"/> 0	<input type="text"/> X <input type="text"/> = <input type="text"/> 0.00	
Multiple Dependent		<input type="text"/> = <input type="text"/> 0.00	

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2) (\$)</b>					<b>\$0.00</b>

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non - English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	<b>180.00</b>
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)****\$180.00****SUBMITTED BY**

Name	Catherine L. Bell (Customer No. 23455)	Registration No. (Attorney/Agent)	35,444	Telephone	281-834-5982
Signature		Date	December 19, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>		Attny. Docket No. 1999B049D
<b>In Re Application of: Agarwal et al</b>		
<b>U.S. Serial No.</b> 10/602,177	<b>Filing Date</b> June 24, 2003	<b>Examiner</b> To be assigned
		<b>Group Art Unit</b> 1713

Address to:

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR § 1.97(b)**

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

the fee as set forth in § 1.17(p), or  
 the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicants hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

Legible copies of the references are enclosed.

This application is a:

Continuation / Divisional,  
 Continuation-in-Part,

of U.S.S.N. 09/788,811, filed February 20, 2001, which is a CIP of 09/734,479, filed December 11, 2000, which is a CIP of 09/458,281, filed December 10, 1999. Copies of the cited references are  enclosed;  not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

Dec 19, 2003  
Date



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# INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.	SERIAL NO.
1999B049D	10/602,177
APPLICANT	
Agarwal et al.	
FILING DATE	GROUP
June 24, 2003	1713

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	3,351,621	11/07/67	Robert Bacskai	260		
	AB	4,182,810	01/08/80	Willcox	526		
	AC	4,530,914	07/23/85	Ewen et al.	502		
	AD	4,542,199	09/17/85	Kaminsky et al.	526		
	AE	4,665,208	05/12/87	Welborn, Jr. et al.	556		
	AF	4,808,561	02/28/89	Welborn, Jr.	502		
	AG	4,871,705	10/03/89	Hoel	502		
	AH	4,874,734	10/17/89	Kioka et al.	502		
	AI	4,908,463	03/13/90	Bottelberghe	556		

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLI-CATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AJ	EP 0 279 586 B	08/24/88	Europe				
	AK	EP 0 279 863 B	10/14/92	Europe				
	AL	EP 0 354 893 B	11/02/94	Europe				
	AM	EP 0 449 087 A	10/02/91	Europe				

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance.

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant



INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO.	SERIAL NO.
	1999B049D	10/602,177
	APPLICANT	
	Agarwal et al.	
	FILING DATE	GROUP
	June 24, 2003	1713

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,921,825	05/01/90	Kioka et al.	502		
	AB	4,923,833	05/08/90	Kioka et al.	502		
	AC	4,924,018	05/08/90	Bottelberghe	556		
	AD	4,933,403	06/12/90	Kaminsky et al.	526		
	AE	4,937,299	06/26/90	Ewen et al.	526		
	AF	4,948,840	08/14/90	Berta	525		
	AG	4,952,540	08/28/90	Kioka et al.	502		
	AH	4,968,827	11/06/90	Davis	556		
	AI	5,017,714	05/21/91	Welborn, Jr.	556		
	AJ	5,026,798	06/25/91	Canich	526		

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLI-CATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	AK	EP 0 549 900 B	07/07/93	Europe			YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
	AL	EP 0 561 476 A	09/22/93	Europe			
	AM	EP 0 576 970 B	01/05/94	Europe			X
	AN	EP 0 594 218 B	04/27/94	Europe			

EXAMINER	DATE CONSIDERED
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	1999B049D	10/602,177
	APPLICANT	
	Agarwal et al.	
	FILING DATE	GROUP
June 24, 2003	1713	

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,047,485	09/10/91	DeNicola, Jr.	525		
	AB	5,057,475	10/15/91	Canich et al.	502		
	AC	5,091,352	02/25/92	Kioka et al.	502		
	AD	5,103,031	04/07/92	Smith, Jr.	556		
	AE	5,120,867	06/09/92	Welborn, Jr.	556		
	AF	5,145,819	09/08/92	Winter et al.	502		
	AG	5,153,157	10/06/92	Hlatky et al.	502		
	AH	5,198,401	03/30/93	Turner et al.	502		

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AI	EP 0 784 062	07/16/97	Europe				
	AJ	EP 0 942 017	09/15/99	Europe				
	AK	EP 1 008 607	06/14/00	Europe				
	AL	WO 91/09882	07/11/91	PCT				
	AM	WO 91/17194	11/14/91	PCT				
	AN	WO 93/14132	07/22/93	PCT				

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	P Agarwal et al.	
	FILING DATE	GROUP
June 24, 2003		
U.S. PATENT DOCUMENTS		

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,204,419	04/20/93	Tsutsui et al.	526		
	AB	5,206,199	04/27/93	Kioka et al.	502		
	AC	5,235,081	08/10/93	Sangokoya	556		
	AD	5,239,022	08/24/93	Winter et al.	526		
	AE	5,243,001	09/07/93	Winter et al.	526		
	AF	5,248,801	09/28/93	Sangokoya	556		
	AG	5,262,503	11/16/93	Gotoh	526		
	AH	5,274,056	12/28/93	McDaniel et al.	526		
	AI	5,276,208	01/04/94	Winter et al.	556		

## AFOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AJ	WO 94/03506	02/17/94	PCT				
	AK	WO 94/10180	05/11/94	PCT				
	AL	WO 94/21962	09/29/94	PCT				
	AM	WO 97/36741	10/09/97	PCT				
	AN	WO 98/49229	11/05/98	PCT				

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,278,119	01/11/94	Turner et al.	526		
	AB	5,296,434	03/22/94	Karl et al.	502		
	AC	5,304,614	04/19/94	Winter et al.	526		
	AD	5,308,815	05/03/94	Sangokoya	502		
	AE	5,324,800	06/28/94	Welborn, Jr. et al.	526		
	AF	5,329,032	07/12/94	Tran et al.	556		
	AG	5,329,033	07/12/94	Spaleck et al.	556		
	AH	5,350,723	09/27/94	Neithamer et al.	502		
	AI	5,374,752	12/20/94	Winter et al.	556		

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLI-CATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	AJ	WO 99/11680	11/03/99	PCT			YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
	AK	DE 196 03 438	08/07/97	Germany			X
	AL	DE 196 03 439	08/07/97	Germany			X
	AM	DE 196 03 600	08/07/97	Germany			X

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		APPLICANT					
		Agarwal et al.					
		FILING DATE		GROUP			
		June 24, 2003		1713			
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,391,790	02/21/95	Rohrmann et al.	556		
	AB	5,416,169	05/16/95	Saito et al.	525		
	AC	5,504,171	04/02/96	Etherton et al.	526		
	AD	5,510,502	04/23/96	Sugano et al.	556		
	AE	5,514,761	05/07/96	Etherton et al.	526		
	AF	5,541,236	07/30/96	DeNicola, Jr. et al.	522		
	AG	5,610,254	03/11/97	Sagane et al.	526		
	AH	5,643,847	07/01/97	Walzer, Jr.	502		
	AI	5,670,595	09/23/97	Meka et al.	526		
	AJ	5,672,668	09/30/97	Winter et al.	526		
	AK	5,756,587	05/26/98	Bettonville et al.	525		
	AL	5,986,009	11/16/99	Thoen et al.	525		
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	PUBLI-CATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	AM						<input type="checkbox"/> YES <input type="checkbox"/> NO
EXAMINER				DATE CONSIDERED			
<b>*EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant							



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	1999B049D	10/602,177
	APPLICANT	
	Agarwal et al.	
	FILING DATE	GROUP
June 24, 2003		

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

AA	Japanese Patent JP 05 194659 A2 (08/03/93) Derwent Abstract, "Olefin copolymers with high melt tension and manufacture thereof" - Shinozaki et al., - Mitsui Petrochemical, Japan
AB	Japanese Patent JP 06 093041 A2 (04/05/94) Derwent Abstract, "Manufacture of reactive propylene random copolymers free from boiling xylene-insoluble components" - Goto et al. - Mitsubishi Petrochemical, Japan
AC	Japanese Patent JP 06 093042 A2 (04/05/94) Derwent Abstract, "Catalysts and manufacture of propylene random copolymers" - Futamura et al., - Mitsubishi Petrochemical, Japan
AD	Japanese Patent JP 07 133387 A2 (05/23/95) Derwent Abstract, "Propylene polymer compositions with balanced rigidity and impact resistance" - Sugimoto et al., - Mitsui Toatsu Chemicals, Japan
AE	Japanese Patent JP 07 138424 A2 (05/30/95) Derwent Abstract, "Propylene polymer compositions with balanced rigidity" - Sugimoto et al., - Mitsui Toatsu Chemicals, Japan
AF	Japanese Patent JP 07165814 A2 (06/27/95) Derwent Abstract, "Propylene-Alpha-Omega-Diene Copolymers and Method for Their Manufacture" - Sugimoto et al. - Mitsui Toatsu Chemicals, Japan
AG	Japanese Patent JP 07 179687 A2 (07/18/95) Derwent Abstract, "Propylene polymer compositions with good rigidity and impact resistance" - Sugimoto et al., - Mitsui Toatsu Chemicals, Japan
AH	Japanese Patent JP 08 092308 A2 (04/09/96) Derwent Abstract, "Manufacture of polyolefins with High melting point and stereoregularity" - Sataka et al., Showa Denko KK, Japan
AI	<i>Kirk-Othmer Encyclopedia of Chemical Technology</i> , Fourth Edition, v. 11, pp. 730-783 - "Foamed Plastics"
AJ	<i>Kirk-Othmer Encyclopedia of Chemical Technology</i> , Fourth Edition, v. 17, pp. 784-819 - "Polypropylene"
AK	Naofumi Naga et al., "Copolymerization of Propene and Nonconjugated Diene Involving Intramolecular Cyclization with Metallocene/Methylaluminoxane" - <i>Macromolecules</i> 1999, v.32. pp. 1348-1355
AL	Wild et al., "ansa-Metallocene Derivatives-VII, Synthesis and Crystal Structure of a Chiral ansa-Zirconocene Derivative with Ethylene-Bridged Tetrahydroindenyl Ligands" <i>Journal of Organometallic Chemistry</i> , v.288 (1985) pp. 63-67

EXAMINER	DATE CONSIDERED
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